

## Fidelity & Guaranty (F&G) Contract Request Acknowledgement Form

I, \_\_\_\_\_, hereby acknowledge that I authorize Equis Financial Inc to initiate contracting on my behalf with Fidelity & Guaranty Life. In so doing, I grant permission to Equis Financial to apply my signature to all pertinent places on the appropriate appointment request forms.

**Signature of Authorizing Agent** \_\_\_\_\_

Please fill out all fields accurately and read all information provided. Attach all necessary documents when sending the completed Contract Request Acknowledgement Form by e-mail to [fgcontracts@equisfinancial.com](mailto:fgcontracts@equisfinancial.com). Documents in .pdf format is required.

### General Questions:

1. What is your EF#? \_\_\_\_\_
2. Are you contracting as a corporation? Yes No

If Yes, please provide your corporation's name and TIN  
\_\_\_\_\_

3. Have you completed the AML (Anti-Money Laundering) training course and provided us with your AML completion certificate? Yes No
4. Do you have current / in-date E&O insurance that you have provided us? Yes No

If No, you **must** have E&O to contract with F&G. We will not contract you without E&O insurance. Please provide us with documentation of your E&O insurance if we do not have it on file.

5. Do we have a voided check on file for you? Yes No

If No, you **must** provide us with a voided check to the bank that you wish to have your deposits go into. Please also specify whether the bank account is a checking or a savings account:      Checking      Savings

6. Do you have a non-resident insurance license in any of the following states?

Alabama	Georgia	New York	South Carolina	Wyoming
California	Iowa	Nevada	South Dakota	
Delaware	Louisiana	North Carolina	Texas	
District of Columbia	New Hampshire	Pennsylvania	Utah	
Florida	New Mexico	Puerto Rico	Wisconsin	

Background Questions: **Any responses of “yes” will require an explanation and/or any documentation available (where applicable).**

1. Have you ever filed for bankruptcy? Yes No
2. Have you ever been the subject of any complaint related to the solicitation or sale of any insurance product(s), securities or any financial product or service, in any jurisdiction? Yes No
3. Have you ever been the subject of any investigation or proceeding by any insurance or securities regulator in any jurisdiction? Yes No
4. Have you ever been accused of or charged with any improper conduct related to the solicitation or sale of any insurance product(s), securities or any financial product or service? Yes No
5. Have you ever been *alleged* to have engaged in any fraud? Yes No
6. Have you ever been *found* to have engaged in any fraud? Yes No
7. Have you ever been convicted of any crime? Yes No
8. Have you ever been barred, fined or disciplined by any insurance, securities or other regulator in any jurisdiction? Yes No
9. Have you ever had your license to offer or sell insurance products or securities suspended or revoked in any jurisdiction? Yes No
10. Do you hold a current Certificate of Continuing Education for California and/or Iowa?  
Yes (Please attach a copy) No